

EXHIBIT

“D”

**U.S. District Court
District of Connecticut (New Haven)
CIVIL DOCKET FOR CASE #: 3:05-cv-01959-AHN**

Merrill Lynch Pierce Fenner & Smith, Inc. v. Phillips
Assigned to: Judge Alan H. Nevas
Cause: No cause code entered

Date Filed: 12/22/2005
Jury Demand: None
Nature of Suit: 190 Contract: Other
Jurisdiction: Diversity

Plaintiff

Merrill Lynch Pierce Fenner & Smith, Inc.

represented by **Michael D. Blanchard**
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Hartford, CT 06103-3178
860-240-2945
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LEAD ATTORNEY
ATTORNEY TO BE NOTICED

V.

Defendant

Ian S. Phillips

Date Filed	#	Docket Text
12/22/2005	<u>1</u>	PETITION for Confirmation of Arbitration Panel's final Award against Ian S. Phillips (Filing fee \$ 250 receipt number H018095.), filed by Merrill Lynch Pierce Fenner & Smith, Inc.. (Attachments: # <u>1</u> Memorandum of law in Support# <u>2</u> Affidavit of Dimitri Nemirovsky# <u>3</u> Notice of Manual Filing)(Grady, B.) Modified on 12/23/2005 to correct text (Grady, B.). (Entered: 12/23/2005)
12/22/2005	<u>3</u>	NOTICE of Appearance by Michael D. Blanchard on behalf of Merrill Lynch Pierce Fenner & Smith, Inc. (Grady, B.) (Entered: 12/23/2005)
12/23/2005	<u>2</u>	Order on Pretrial Deadlines: Motions to Dismiss due on 03/23/06. Amended Pleadings due by 2/21/2006. Discovery due by 6/24/2006. Dispositive Motions due by 7/24/2006. Signed by Clerk on 12/23/05. (Grady, B.) Modified on 12/23/2005 to correct date (Grady, B.). (Entered: 12/23/2005)
12/23/2005		Summons Issued as to Ian S. Phillips. (Grady, B.) (Entered: 12/23/2005)

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